



Filing ID #10020841

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Eddie J. Mauro
Status: Congressional Candidate
State/District: IA03

FILING INFORMATION

Filing Type: Candidate Report
Filing Year: 2018
Filing Date: 05/15/2018

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

| Asset | Owner | Value of Asset | Income Type(s) | Income Current Year to Filing | Income Preceding Year |
|--|-------|---------------------|----------------|-------------------------------|-----------------------|
| Allianz Life Insurance Co. ⇒ Nasdaq - 100 Index Allcoation [MF] | SP | \$1,001 - \$15,000 | Tax-Deferred | | |
| Allianz Life Insurance Co. ⇒ S&P 500 Index Allocation [MF] | SP | \$15,001 - \$50,000 | Tax-Deferred | | |
| American Funds Simple IRA ⇒ EuroPacific Growth Fund-A [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| American Funds Simple IRA ⇒ Fundamental Investors-A [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| American Funds Simple IRA ⇒ New Perspective Fund-A [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| American Funds Simple IRA ⇒ The Growth Fund of America-A [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| AssetMark ⇒ AQR Style Premia Alternative I - QSPIX [MF] | SP | \$1,001 - \$15,000 | Tax-Deferred | | |
| AssetMark ⇒ | SP | \$1,001 - \$15,000 | Tax-Deferred | | |

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|---|-------|---------------------------|----------------|-------------------------------|-----------------------|
| AQR US Defensive Equity I - GBOSX [MF] | | | | | |
| AssetMark ⇒ BlackRock Liquidity T-Fund Mgmt [MF] | SP | \$1,001 - \$15,000 | Tax-Deferred | | |
| AssetMark ⇒ Cash Pending Settlement [MF] | SP | \$1 - \$1,000 | Tax-Deferred | | |
| AssetMark ⇒ iShares 0-5 Year High Yield Corp EFT - SHYG [MF] | SP | \$1,001 - \$15,000 | Tax-Deferred | | |
| AssetMark ⇒ JPMorgan Gbl Bond Opp I - GBOSX [MF] | SP | \$1,001 - \$15,000 | Tax-Deferred | | |
| AssetMark ⇒ Lazard US Global Listed Infrastrcture - GLIFX [MF] | SP | \$1,001 - \$15,000 | Tax-Deferred | | |
| AssetMark ⇒ Nuveen Preferred Securities and Inc I - NPSRX [MF] | SP | \$1,001 - \$15,000 | Tax-Deferred | | |
| AssetMark ⇒ Osterweis Strategic Income - OSTIX [MF] | SP | \$1,001 - \$15,000 | Tax-Deferred | | |
| AssetMark ⇒ PIMCO Income Instl - PIMIX [MF] | SP | \$1,001 - \$15,000 | Tax-Deferred | | |
| AssetMark ⇒ Savos Dynamic Hedging Fund - SVDHX [MF] | SP | \$1,001 - \$15,000 | Tax-Deferred | | |
| CollegeBound 529 Invesco College Bound Today Portfolio [5P] | | \$15,001 - \$50,000 | Tax-Deferred | | |
| LOCATION: IA | | | | | |
| Collinwood Risk Services, Inc., 50% Interest [OL] | | \$1,000,001 - \$5,000,000 | Business | \$100,001 - \$1,000,000 | None |
| LOCATION: Des Moines, IA, US | | | | | |
| DESCRIPTION: Insurance | | | | | |
| DomMia, Inc., 100% Interest [OL] | | \$50,001 - \$100,000 | Business | None | None |
| LOCATION: Des Moines, IA, US | | | | | |
| DESCRIPTION: Insurance Premium Financing | | | | | |

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|--|-------|-----------------------|----------------|-------------------------------|-----------------------|
| Good Shift, LLC [OL] | | \$50,001 - \$100,000 | Business | None | None |
| LOCATION: Des Moines, IA, US DESCRIPTION: Technology Service | | | | | |
| JDET, Inc., 50% Interest [OL] | | \$100,001 - \$250,000 | Rent | \$15,001 - \$50,000 | None |
| LOCATION: Des Moines, IA, US DESCRIPTION: Rental Real Estate | | | | | |
| Kestra ⇒ Apache Corporation (APA) [ST] | | None | Dividends | None | \$1 - \$200 |
| Kestra ⇒ Apple Inc. (AAPL) [ST] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | \$1 - \$200 |
| Kestra ⇒ AT&T Inc. (T) [ST] | | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | \$1 - \$200 |
| Kestra ⇒ Dunkin' Brands Group, Inc. (DNKN) [ST] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | \$1 - \$200 |
| Kestra ⇒ Fidelity Govt MMKT Daily Money Class [BA] | | \$1,001 - \$15,000 | Interest | None | None |
| Kestra ⇒ Netflix, Inc. (NFLX) [ST] | | \$1,001 - \$15,000 | None | | |
| Kestra ⇒ Oracle Corporation (ORCL) [ST] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | \$1 - \$200 |
| Kestra ⇒ Starbucks Corporation (SBUX) [ST] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | \$1 - \$200 |
| Kestra ⇒ Verizon Communications Inc. (VZ) [ST] | | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | \$1 - \$200 |
| Lincoln Financial 401(K) ⇒ AB VPS Small Mid Value SA39 [MF] | | \$15,001 - \$50,000 | Tax-Deferred | | |
| Lincoln Financial 401(K) ⇒ AmerFunds Invest Co Amer SA49 [MF] | | \$15,001 - \$50,000 | Tax-Deferred | | |

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|--|--------------|-----------------------|-----------------------|--------------------------------------|------------------------------|
| Lincoln Financial 401(K) ⇒ AmerFunds SMALLCAP World SA-46 [MF] | | \$15,001 - \$50,000 | Tax-Deferred | | |
| Lincoln Financial 401(K) ⇒ Dimensional Core Equity 1 SA11 [MF] | | \$15,001 - \$50,000 | Tax-Deferred | | |
| Lincoln Financial 401(K) ⇒ Fed Gov Ultrashort Dur SA14 [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Lincoln Financial 401(K) ⇒ Franklin Growth SA58 [MF] | | \$15,001 - \$50,000 | Tax-Deferred | | |
| Lincoln Financial 401(K) ⇒ Guaranteed Account - Lincoln Financial [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Lincoln Financial 401(K) ⇒ Janus Aspen Enterprise SA64 [MF] | | \$15,001 - \$50,000 | Tax-Deferred | | |
| Lincoln Financial 401(K) ⇒ LVIP Clarion Gbl Real Est SA55 [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Lincoln Financial 401(K) ⇒ LVIP Delaware Bond SA12 [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Lincoln Financial 401(K) ⇒ LVIP Managed Risk PR 2030 SAL3 [MF] | | \$15,001 - \$50,000 | Tax-Deferred | | |
| Lincoln Financial 401(K) - Sp ⇒ AB VPS Small Mid Value SA39 [MF] | SP | \$1,001 - \$15,000 | Tax-Deferred | | |
| Lincoln Financial 401(K) - Sp ⇒ AmerFunds Invest Co Amer SA49 [MF] | SP | \$1,001 - \$15,000 | Tax-Deferred | | |
| Lincoln Financial 401(K) - Sp ⇒ Dimensional Core Equity 1 SA11 [MF] | SP | \$1,001 - \$15,000 | Tax-Deferred | | |
| Lincoln Financial 401(K) - Sp ⇒ Fed Gov Ultrashort Dur SA14 [MF] | SP | \$1 - \$1,000 | Tax-Deferred | | |
| Lincoln Financial 401(K) - Sp ⇒ Franklin Growth SA58 [MF] | SP | \$1,001 - \$15,000 | Tax-Deferred | | |
| Lincoln Financial 401(K) - Sp ⇒ Franklin Growth SA58 [MF] | SP | \$1,001 - \$15,000 | Tax-Deferred | | |

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|---|-------|-------------------------|--------------------|-------------------------------|-----------------------|
| Lincoln Financial 401(K) - Sp ⇒ Franklin High Income SA20 [MF] | SP | \$1,001 - \$15,000 | Tax-Deferred | | |
| Lincoln Financial 401(K) - Sp ⇒ Franklin Templeton Gbl Eq SA62 [MF] | SP | \$1,001 - \$15,000 | Tax-Deferred | | |
| Lincoln Financial 401(K) - Sp ⇒ Guaranteed Account - Lincoln Financial [MF] | SP | \$1,001 - \$15,000 | Tax-Deferred | | |
| Lincoln Financial 401(K) - Sp ⇒ LVIP Delaware Bond SA12 [MF] | SP | \$1,001 - \$15,000 | Tax-Deferred | | |
| Lincoln Financial 401(K) - Sp ⇒ LVIP Managed Risk PR 2030 SAL3 [MF] | SP | \$1,001 - \$15,000 | Tax-Deferred | | |
| Lincoln Financial 401(K) - Sp ⇒ LVIP SSgA Small-Cap Index SA36 [MF] | SP | \$1,001 - \$15,000 | Tax-Deferred | | |
| Lincoln Financial 401(K) - Sp ⇒ MFS VIT Utilities Series SA67 [MF] | SP | \$1,001 - \$15,000 | Tax-Deferred | | |
| Mass Mutual Roth IRA ⇒ MML Equity [MF] | | \$1 - \$1,000 | Tax-Deferred | | |
| Mass Mutual Roth IRA ⇒ OPP Discovery Growth [MF] | | \$1 - \$1,000 | Tax-Deferred | | |
| Mass Mutual Roth IRA ⇒ OPP Global Fund [MF] | | \$1 - \$1,000 | Tax-Deferred | | |
| Mass Mutual Variable Annuity ⇒ MML Blend [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Mass Mutual Variable Annuity ⇒ MML Equity [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Mass Mutual Variable Annuity ⇒ OPP Discovery Growth [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Mass Mutual Variable Annuity ⇒ OPP Global Fund [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| MDM Insurance Group, Inc., 100% Interest [OL] | | \$500,001 - \$1,000,000 | Interest, Business | \$100,001 - \$1,000,000 | \$15,001 - \$50,000 |

| Asset | Owner | Value of Asset | Income Type(s) | Income Current Year to Filing | Income Preceding Year |
|--|-------|----------------------------|-----------------------------------|-------------------------------|-----------------------|
| LOCATION: Des Moines, IA, US DESCRIPTION: Insurance Agency | | | | | |
| Overtime Sports, LLC, 84% Interest [OL] | | None | Capital Gains, Interest, Business | None | \$15,001 - \$50,000 |
| LOCATION: Des Moines, IA, US DESCRIPTION: Recreational Sports | | | | | |
| Premier Credit Union Account #1 [BA] | JT | \$15,001 - \$50,000 | Interest | \$1 - \$200 | \$1 - \$200 |
| Premier Credit Union Account #2 [BA] | JT | \$1,001 - \$15,000 | Interest | None | None |
| Principal Financial Group Inc (PFG) [ST] | SP | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | \$201 - \$1,000 |
| Rental Property - 508 Indianola, Des Moines, IA [RP] | | \$250,001 - \$500,000 | Rent | \$15,001 - \$50,000 | \$50,001 - \$100,000 |
| LOCATION: Des Moines, IA, US | | | | | |
| Rental Property - 520 Indianola, Des Moines, IA [RP] | | \$15,001 - \$50,000 | Rent | None | \$2,501 - \$5,000 |
| LOCATION: Des Moines, IA, US | | | | | |
| Rental Property - Herold Ave, Des Moines, IA [RP] | | \$15,001 - \$50,000 | Rent | None | \$15,001 - \$50,000 |
| LOCATION: Des Moines, IA, US | | | | | |
| SEM Insurance Brokers, Inc. [OL] | | \$5,000,001 - \$25,000,000 | Interest, Business | \$100,001 - \$1,000,000 | \$15,001 - \$50,000 |
| LOCATION: Des Moines, IA, US DESCRIPTION: Insurance | | | | | |

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

| Source | Type | Amount Current Year to Filing | Amount Preceding Year |
|--------------------------|--------|-------------------------------|-----------------------|
| Collinwood Risk Services | Salary | \$128,350.00 | \$97,181.00 |
| SEM Insurance Brokers | Salary | \$190,360.00 | \$16,000.00 |
| MDM Insurance Brokers | Salary | \$65,000.00 | N/A |
| | | | |

| Source | Type | Amount Current Year to Filing | Amount Preceding Year |
|--------------------------|---------------|-------------------------------------|--------------------------|
| DomMia, Inc. | Salary | \$15,000.00 | N/A |
| Collinwood Risk Services | Spouse Salary | \$23,000.00 | \$23,625.00 |
| SEM Insurance Brokers | Spouse Salary | \$56,400.00 | \$40,280.00 |

SCHEDULE D: LIABILITIES

| Owner | Creditor | Date Incurred | Type | Amount of Liability |
|-------|------------------|----------------|----------------------|------------------------|
| JT | Bank of the West | October 1994 | Home Mortgage | \$50,001 - \$100,000 |
| JT | Premier Bank | October 2014 | Home Equity Loan | \$5,800.00 |
| | Associated Bank | November 2008 | Rental Property Loan | \$50,001 - \$100,000 |
| | Vision Bank | July 2000 | Rental Property Loan | \$100,001 - \$250,000 |
| | West Bank | July 2014 | Rental Property Loan | \$100,001 - \$250,000 |
| | Premier Bank | September 2014 | Auto Loan | \$10,000 - \$15,000 |
| | Premier Bank | October 2017 | Auto Loan | \$15,001 - \$50,000 |

SCHEDULE E: POSITIONS

| Position | Name of Organization |
|-----------------|-----------------------------|
| Shareholder | Collinwood Risk Services |
| Shareholder | SEM Insurance Brokers, Inc. |
| Partner | JDET, LLC |
| Shareholder | MDM Insurance Group, Inc. |
| Partner | Overtime Sports, LLC |
| President / COO | Iowa Youth Sports |
| Partner | Good Shift, LLC |
| Shareholder | DomMia, Inc. |

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

SCHEDULE A ASSET CLASS DETAILS

- Allianz Life Insurance Co. (Owner: SP)
- American Funds Simple IRA
- AssetMark (Owner: SP)
- Kestra
DESCRIPTION: DMM Investments
- Lincoln Financial 401(K)
- Lincoln Financial 401(K) - Sp (Owner: SP)
- Mass Mutual Roth IRA
- Mass Mutual Variable Annuity

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Eddie J. Mauro , 05/15/2018